#### Improved Reporting of Crystal Structures: the Impact of Publishing Policy on Data Quality

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## Structure of presentation

- Publication of crystal structure reports
- Data exchange/archive standards
- Publication workflow for small-unit-cell structures
- Community consensus for biological macromolecules
- Data publication at source



# Publication of crystal structure reports

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# Crystallography



- The branch of science devoted to the study of molecular and crystalline structure
- Far-reaching applications in chemistry, physics, mathematics, biology and materials science













## Crystal structures 'published'

- Curated databases
  - Cambridge Structural Database
    - Small organic/metal-organic: 335,280 : 29,000/yr
  - Protein Data Bank
    - Biological macromolecules: 34,506 : 5,500/yr
  - Inorganic Crystal Structure Database (82,676), CrystMet (99,893), Powder Diffraction File (240,050)
- IUCr journals
  - Acta Crystallographica Sections C, E
    - Small-molecule, inorganic: 2357 articles/year
  - Acta Crystallographica Sections D, F
    - Biological macromolecules: ~ 120+ structural articles/year

### The crystallographic experiment





 Bench diffractometer, synchrotron, area detector, photographic film, space shuttle...





• Bragg's law:  $n\lambda = 2d \sin \theta$ 

### Consistent data pipeline



### **Crystal Structure reports** data-rich scientific articles

- 3-d positional coordinates
- Atomic motions •
- Molecular geometry •
- Chemical bonding •
- **Crystal packing**
- Chemical behaviour arising • from structure
- Two dedicated IUCr journals: Acta Cryst. C, E
- Important part of scientific • discussion in many other titles: Acta Cryst. B, D, F





Figure 1



Packing diagram for allo-inositol, viewed along the a axis. The dashed

5743 measured reflection:

 $R_{int} = 0.038$ 

0mm = 27.4

1627 independent reflection

1387 reflections with  $I > 2\sigma(I)$ 

#### organic papers

 $w = \frac{1}{[\sigma^2(F_o^2) + (0.0508P)^2 + 0.2778P]}$ where  $P = (F_o^2 + 2F_c^2)/3$  $(\Delta/\sigma)_{max} = 0.004$  $\Delta\rho_{max} = 0.31 \text{ e} \text{ Å}^{-3}$  $\Delta \rho_{min} = -0.46 \text{ e} \text{ Å}^{-3}$ 

#### Table 1 en-bond geometry (Å, °).

independent and constrained refinement

Service Bernard (c.d.).							
A-H-G	D-H	<b>W</b> · <b>H</b>	$D \cdots A$	D-H···A			
01-H1- 024	0.82(2)	1.95 (2)	2,7695 (14)	174 (2)			
02-H2 05"	0.82(2)	1.91 (2)	2.7289 (14)	179 (2)			
03-H3-06	0.85(2)	1.90(2)	2.7423 (13)	171 (2)			
04-H4 08iv	0.84(2)	1.93 (2)	2.7461 (14)	164 (2)			
05-H5 02"	0.86(2)	2.12(2)	2.9014 (14)	152 (2)			
06-H6 01vi	0.82 (2)	2.05 (2)	2.8248 (13)	158 (2)			
04-H4 · · O3* 05-H5 · · O2 <sup>v</sup> 06-H6 · O1 <sup>vi</sup>	0.84 (2) 0.86 (2) 0.82 (2)	1.93 (2) 2.12 (2) 2.05 (2)	2.7461 (14) 2.9014 (14) 2.8248 (13)	164 (2) 152 (2) 158 (2)			

The O-bound H atoms were all located in a difference map and refined with a common isotropic displacement parameter 10.041 (2) Å<sup>2</sup>]; O-H distances were restrained to a target value of 0.83 (1) Å. The C-bound H atoms were placed in calculated positions, with C-H = 1.00 Å, and refined as riding with a common isotropic displacement parameter [0.016 (2) Å<sup>2</sup>]

Data collection: COLLECT (Nonius, 1998); cell refinement: SCALEPACK (Otwinowski & Minor, 1997); data reduction; SCALEPACK and DENZO (Otwinowski & Minor, 1997); program(s) used to solve structure: SIR92 (Altomare et al., 1994); program(s) used to refine structure: SHELXL97 (Sheldrick, 1997); molecular graphics: MERCURY (Version 1.4; Macrae et al., 2006); software used to prepare material for publication: SHELXL97.

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#### References

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Bonney, A., Motherweit, W. D. S., Chisnoim, J. A. & Jones, W. (200). CrystEngComm, 7, 71–75.
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Otwinowski, Z. & Minor, W. (1997), Methods in Enzymology, Vol. 279. Macromolecular Crystallography, Part A. edited by C. W. Carter Jr & R. M. Sweet, pp. 307-326. New York: Academic Press

Sweet, pp. 307–326. New York Academic Press.
Podeschwa, M., Plettenburg, O., Van Brocke, J., Block, O., Adelt, S. & Altenbach, H. (2003). Eur. J. Org. Chem. pp. 1958–1972.
Sheldrick, G. M. (1997). SHELXS97 and SHELXL97. University of Göttingen, Germany.

Tschamber T. Backenstrass F. Fritz H & Streith 1 (1997) Hely Chim Acta 75, 1052-1060

Nonius KappaCCD diffractometer thin-slice wand  $\varphi$  scans Absorption correction: multi-scan (SORTAV; Blessing, 1995)  $T_{min} = 0.896, T_{max} = 0.975$ 

lines show O...O contacts for hydrogen bonds

Figure 2

Data collection

## Data that inform the discussion

#### **Raw data**

(image plate, diffractometer, film)



#### **Primary data**

(structure factors)

# h,k,l, Fc-squared, Fo-squared, sigma(Fo-squared) and status flag

# data 6

shelx\_title ' 01SRC413 in P2(1)/n' shelx\_refln\_list\_code 4 shelx\_F\_calc\_maximum 183.83 exptl\_crystal\_F\_000 1144.00 \_reflng\_d\_resolution\_high 0.7705

loop\_

\_symmetry\_equiv\_pos\_as\_xyz 'x, y, z' '-x+1/2, y+1/2, -z+1/2' 'x, -y, -z' 'x-1/2, -y-1/2, z-1/2'

 \_cell\_length\_a
 11.8293

 \_cell\_length\_b
 10.3312

 \_cell\_length\_c
 21.6318

 \_cell\_angle\_alpha
 90.000

 \_cell\_angle\_beta
 100.203

 \_cell\_angle\_gamma
 90.000

shelx\_F\_squared\_multiplier 1.000

qoo						
ref.	ln in	ndex	h			
ref.	ln in	ndex	k			
ref.	ln in	ndex	1			
ref.	ln F	squa	red calc			
ref.	ln F	squa	red meas			
ref.	ln F	squa	red sigma			
ref.	ln ob	serv	ed status			
2	0	0	772.37	856.47	28.20	0
4	0	0	1445.15	1446.80	39.55	0
6	0	0	1130.79	1097.08	30.62	0
8	0	0	1347.13	1490.27	55.41	0
10	0	0	3273.01	3545.64	154.91	0
12	0	0	48.20	40.50	4.56	0
14	0	0	79.87	63.02	7.91	0
2	1	0	2093.70	1975.83	47.36	0
3	1	0	33795.10	34884.29	1287.71	0
4	1	0	2298.16	2035.72	38.24	0
5	1	0	9.73	36.06	5.59	0
6	1	0	449.80	506.89	11.92	0
7	1	0	1.81	7.91	5.59	0
8	1	0	43.36	28.81	6.79	0
9	1	0	64.18	48.51	6.02	0
10	1	0	1412.22	1628.54	45.96	0
11	1	0	242.68	279.96	9.70	0
12	1	0	14.96	10.52	3.84	0
13	1	0	16.87	15.76	4.56	0
14	1	0	16.46	7.91	7.91	0
15	1	0	0.00	3.95	5.59	0
0	2	0	2443.71	2679.14	61.27	0
1	2	0	23397.80	23770.90	546.30	0
2	2	0	20572.37	19502.51	520.01	0
3	2	0	8854.88	8282.53	169.57	0
	0			1000 55	00.00	

#### **Derived data**

(six-dimensional structural model)



# Data exchange/archive standards

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### Examples of CIF data

#### Formulae, coordinates

data 99107abs

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_chem	nical_name_syste	ematic					
; 3-E	Benzo[b]thien-2-	yl-5,6-	dihydr	0-1,4	1,2-		
	oxathiazine 4-o	xide					
;							
chen	nical name commo	on		?			
chen	nical formula iu	ipac		'C11	H9 N	02	S2'
chen	nical formula mo	oiety		'C11	н9 м	02	s2'
chen	nical formula su	1m –		'C11	н9 N	02	s2'
chen	nical formula we	eight		251	.31		
loop		-					
ato	- om site label						
ato	m site type syn	nbol					
_ ato	m site fract x						
ato	m site fract v						
ato	om site fract z						
atc	m site U iso or	equiv					
_utt	m site adn type	oqu					
 g g	3 0 32163(7)	0 45232	P (6) 0	5201	(3)		
	0.04532(13) Uan	i.45252	. (0) 0.	5201.	L (J)		
S11 S	6 0.39642(7)	0.67998	3(6) 0.	29598	3(2)		
	0.04215(12) Uan	i					
01 0	-0.00302(17)	0.67538	3(16) 0	.4712	24(8)		
	0.0470(3) Uani						
04 C	0.2601(2)	0.28588	3(16) 0	.5027	79(10	)	
	0.0700(5) Uani						
H5A H	I 0.1284	0.4834	0.6221	0.06	50 Ui	so	
H5B H	I 0.1861	0.6537	0.5908	0.06	50 Ui	so	

#### Raw (image) data

```
data CXVT 0132
loop
 array data.array id
 array data.binary id
 array data.data
image 1 1
--CIF-BINARY-FORMAT-SECTION-
Content-Type: application/octet-stream; conversions="x-
    CBF PACKED"
Content-Transfer-Encoding: BASE64
X-Binary-Size: 3745758
X-Binary-ID: 1
X-Binary-Element-Type: "signed 32-bit integer"
Content-MD5: 1zsJjWPfol2GYl2V+QSXrw==
ZsGuAKUFAIhS93U8
/91rMvpiEXw1pwoceMIBYHj78x7u9nszkeh7qm3XK6jk/Aa4x3Ecx3Ec
    x3Ecx3EcBzEEgApW
/y8xGar1BaqZXkcCow74Aw77fp8W5Sf2vP6O6A/SD8ZnixLf4/WMOzCg
    EAhqVnnv3wsk8oO9
EFa5G/3Gfq94GwLjHNE+gd8ndgf1foI+GN2LQIAneVRf9rXyCkwIyc/y
    /ILuHsdxHMdxHMdx
HMdxGAURAGraeHhJh6ccYbjVMKH5Xxjq3wx6VNQ4sPPCAxNNMrb/BEsA
    DjdtYzBLtS+VJMtJ
```

### Data dictionary definition

#### data\_chemical\_formula\_weight

_name	'_chemic	cal_formula_weight`
_category		chemical_formula
_type		numb
_enumeration	n_range	1.0:
_units		Da
_units_deta:	il '	daltons'
_definition		
_		

; Formula mass in daltons. This mass should correspond to the formulae given under \_chemical\_formula\_structural, \*\_iupac, \*\_moiety or \*\_sum and, together with the Z value and cell parameters, should yield the density given as \_exptl\_crystal\_density\_diffrn.



## Standard description of data

#### Crystallographic Information Framework

 International Tables for Crystallography (2005). Vol. G, Definition and exchange of crystallographic data, edited by S. R. Hall & B. McMahon, 1st ed. Berlin: Springer.

#### CIF file structure

 Hall, S. R., Allen, F. H. & Brown, I. D. (1991). The Crystallographic Information File (CIF): a new standard archive file for crystallography. Acta Cryst. A47, 655-685

#### Dictionary definition language

- Hall, S. R. & Cook, A. P. F. (1995). STAR dictionary definition language: initial specification. J. Chem. Inf. Comput. Sci. 35, 819-825.
- Data dictionaries





#### Publication workflow for smallunit-cell structures

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# Peer-reviewed structure-reports journals

- Data submitted as CIF
- Automated checking on submission
- Reviewer reports
- Automated page composition
- Key indicators
- Supplementary data sets



# Technical aspects of peer review

- Check internal consistency of data dependencies (CIF dictionary)
- Check scientific reasonableness of model
- Check completeness of experimental metadata
- Check quality of derived structural model
- Consistency checks between raw, primary and derived data

## Feedback to submitting author (1)

In this example, a	[IUCr) Checking Results for CIF (om6008.cif) - Netscape <u>File Edit View Go Communicator H</u> elp				
query is raised	ADDSYM reports no extra symmetry				
about a minor	• Alert Level C:				
problem: the assigned chirality	STRVAL_01 From the CIF: _refine_ls_abs_structure_Flack 5.000 From the CIF: _refine_ls_abs_structure_Flack_su 1.600 Alert C Chirality of atom sites is inverted?				
STRVAL_01 - Netscape File Edit View Go Communicator <u>H</u> elp		_OX			
PROC-NAME: STRVAL 01 (FL)					
PURPOSE: To check that _refine_ls_abs_structure_flack is within expected limits.					

#### PROCEDURE:

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FLACK = refine 1s abs structure flack SFLACK = su of refine 1s abs structure flack

#### TEST

File

#### IF FLACK > 0.7 issue ALERT C

Name/Name

"Alert C Chirality of atom sites is inverted?"

The correct absolute structure has been defined by the atomic coordinates if refine 1s abs structure flack is close to 0.0 (and the s.u. is sufficiently small). If refine is abs structure flack is close to 1.0, the incorrect enantiomer is being modelled and the atomic coordinates should be inverted and refined again.

							_	_
<b>3 −</b> 0−	Document: Done				<u>Ф</u> Р.	e p	<b>%</b>	///
		1 Alert Level C =	Please check					-
		•						
		<b>2</b>	Document: Done	- 🗏 🐝	- <b>9_8</b> ,	ø	<b>%</b>	//



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### Feedback to submitting author (2)

 Image: Weight of the second structure
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2 Alert Level A = Potentially serious problem
0 Alert Level B = Potential problem
9 Alert Level C = Please check

In this example, some mandatory information is missing: the author must explain or supply

#### VALIDATION ISSUES

The validation checking software has detected some potential problems with your CIF.

If you intend to submit this CIF for publication in an IUCr journal (*Acta Crystallographica, Journal of Applied Crystallography* or *Journal of Synchrotron Radiation*), you should attempt to resolve the more serious problems (level A or B) before submission. This may involve additional measurements or structure refinements. However, the nature of your study may justify the reported deviations from the submission requirements of the journal. If this is the case, you can insert an explanation in your CIF using the Validation Reply Form (VRF) below. Your explanation will be assessed as part of the review process.

If you wish to submit your CIF for publication in Acta Crystallographica Section C, you should send your CIF to cifpub@iucr.org; submissions to Acta Crystallographica Section E should be made via the web (Submission Form). If your CIF is to form part of a submission to Acta Crystallographica Section B, the Co-editor handling your submission will ask you to send your CIF to Chester during the review of your paper.

\_vrf\_SYMM\_004\_bk1380
;
PROBLEM: Alert A \_symmetry\_equiv\_pos\_as\_xyz loop is missing.
RESPONSE: ...

### Example review report (1)

Bond precision: C-C = 0.0036 A Wavelength=0.71073 Cell: a=18.120(4) b=11.317(2) c=19.777(4) alpha=90 beta=90 gamma=90

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Residence of Crystallerable and other

**#** 

Calculated Reported Volume 4055.6(14)4055.6(14)Pbca Space group Pbca Hall group -P 2ac 2ab -P 2ac 2ab Moiety formula C22 H27 Cu N3 O2 C22 H27 Cu N3 O2 Sum formula C22 H27 Cu N3 O2 C22 H27 Cu N3 O2 429.02 429.01 Mr Dx,g cm-3 1.405 1.405 Z 8 8 Mu (mm-1) 1.099 1.099 F000 1800.0 1800.0 F000' 1803.09 h,k,lmax 24,15,27 24,15,27 Nref 5559 5497 0.824,0.903 0.768,0.874 Tmin,Tmax Tmin' 0.644 Correction method= 'INTEGRATION' Data completeness= Ratio = 0.99 Theta(max) = 29.33R(reflections) = 0.0465( 4147) wR2(reflections) = 0.0973( 5497) S = 1.091 Npar= 255

### Example review report (2)

- Alert level A PLAT725 ALERT 1 A D-H Calc 0.91000, Rep 1.01000 Dev... 0.10 Ang. N3 1.555 1.555 -н3 PLAT725 ALERT 1 A D-H Calc 0.97000, Rep 1.09000 Dev... 0.12 Ang. 1.555 1.555 C19 -H19B Calc 0.97000, Rep 1.09000 Dev... 0.12 Ang. PLAT725 ALERT 1 A D-H C29 -H29B 1.555 1.555 PLAT726 ALERT 1 A H...A Calc 2.25000, Rep 2.16000 Dev... 0.09 Ang. H3 -011 1.555 5.665
  - Alert level C

8

PLAT199\_ALERT\_1\_C Check the Reported \_cell\_measurement\_temperature 293 K PLAT200\_ALERT\_1\_C Check the Reported \_diffrn\_ambient\_temperature . 293 K PLAT728\_ALERT\_1\_C D-H..A Calc 118.00, Rep 116.00 Dev... 2.00 Deg. C19 -H19B -O21 1.555 1.555 1.555



# Community consensus for biological macromolecules

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## Extending the approach

- Consensus in smallmolecule crystallographic community
- Emerging standards in macromolecular crystallography
- actabiostandards

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journals.iucr.org International Union of Crystallography Blackwell Munksgaard



### Setting the standards

😻 (IUCr) Data for structural communications - Mozilla Firefox	<ul> <li></li> </ul>					
<u>F</u> ile <u>E</u> dit <u>V</u> iew <u>G</u> o <u>B</u> ookmarks <u>T</u> ools <u>H</u> elp						
Back - Forward - Reload Stop Home	/journals.working.iucr.org/f/services/structuralcommunications/mmcifreqditems					
1. Sample information						
Description	mmCIF items					
1.1. Macromolecule and source informat	ion					
Example 1: complex of <i>E. coli</i> glutamate d Example 2: a zinc-induced heterodimer of Example 3: mutation Example 4: mutation and modification	Example 1: complex of <i>E. coli</i> glutamate decarboxylase α with glutarate Example 2: a zinc-induced heterodimer of two isoforms of phospholipase A <sub>2</sub> Example 3: mutation Example 4: mutation and modification					
Structure name 📀	Structure name 🔨struct.title					
Component molecules	_entity.pdbx_description					
Biological functional unit (BFU) or macromolecular assembly, numbers and types of chains	_struct_biol.details					
Mass of BFU (Da)	_struct_biol.pdbx_formula_weight _struct_biol.pdbx_formula_weight_method					
Macromolecule sequence and chemical conf	figuration 🔨					
Sequence database reference code	_struct_ref.db_name _struct_ref.db_code					
Polymers (one-letter code sequence)	_entity_poly.pdbx_seq_one_letter_code_can _entity_poly.pdbx_seq_one_letter_code					
or Polymer sequence as list of residues	_entity_poly_seq.num _entity_poly_seq.mon_id					



#### Validation of macromolecule structures validation report



#### Data publication at source

<u></u>

ALC: NO

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# Making public the data

- Small-molecule crystallography 'routine'
- Burden of writing full report articles in the literature
- Crystal structures by-products of chemistry research
- Valuable results never enter public domain
- Rise of laboratory 'repositories'

# Extending the scholarly publication paradigm

- ePrints repository
- OAI-PMH

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- Standard metadata
- All data
- Links to publication
- Rights
- Quality



#### checkCIF/PLATON report (basic structural check)

No syntax errors found. CIF dictionary Please wait while processing .... Interpreting this report

#### Datablock: 02src413

Bond precision	: C-C = 0.0052 A	Wavelength=0.71073		
Cell: a=11.8	293(2) b=10.3312(2)	c=21.6318(5)		
alpha=	90 beta=100.2030(10)	gamma=90		
	Calculated	Reported		
Volume	2601.84(9)	2601.84(9)		
Space group	P 21/n	P2(1)/n		
Hall group	-P 2yn	?		
Moiety formula	C22 H32 N3 O7 P3	2		
Sum formula	C22 H32 N3 O7 P3	C22 H32 N3 O7 P3		
Mr	543.42	543.42		
Dx,g cm-3	1.387	1.387		
2	4	4		
Mu (mm-1)	0.275	0.275		
F000	1144.0	1144.0		
F000'	1145.72			21k
h, k, Imax	15,13,28	15,13,28		2
Nrei Train	5965	5841		JK
Imin, Imax	0.936,0.981	0.932,0.981		
Imin'	0.931			
Correction met.	nod= 'MULTI-SCAN'			
Data completen 0.98	ess= Ratio = Theta(max)	= 27.47		9k
R(reflections)	= 0.0518( 4160) wR2(ret	flections) = 0.1525( 5841)		
S = 1.000	Npar= 319			
The following A	ALERTS were generated. Each	ALERT has the format		9k
test-name	me_ALERT_alert-type_alert-1	evel.		
Click on the hy	perlinks for more details	of the test.		
Alert leve	1 A			
PLAT093_ALERT_	L_A No su's on H-atoms, but	refinement reported as .	mixed	4K
Alert leve	1 C			
SHFSU01_ALERT_2	2_C The absolute value of	parameter shift to su ratio 3	> 0.05	
Abs	solute value of the paramet	er shift to su ratio given	0.061	
Ado	ditional refinement cycles	may be required.		6k
PLATU29_ALERT_	C measured_fracti	on_theta_full Low	0.98	566k
PLATOSO ALERT	C Maximum Shift/Error	ilansmissions identical .	0.06	JOOK
PLAT199 ALERT	C Check the Reported cel	1 measurement temperature	293 K	58k
PLAT200 ALERT	C Check the Reported dif	frn ambient temperature .	293 K	0.01
PLAT220_ALERT_2	C Large Non-Solvent C	Ueg(max)/Ueg(min)	2.98 Ratio	39K
PLAT241_ALERT_2	2_C Check High Ueq as	Compared to Neighbors for	C6	39k
PLAT242_ALERT_	2_C Check Low Ueg as	Compared to Neighbors for	05	0011
PLAT34U_ALERT_	C Low Bond Frecision on C	-C Donas (X 1000) Ang	5	
LUAL / SU_ADERI_	222 H32 N3 07 P3	Ionin Onit Cell. Repu. #	-	
	(Ob-)	0.1022	02SRC413 ellipsoid dif	24k
	(UDS)		02010410_empsolu.gli	240

02src413.LS

51k

## ALPSP Award 2006

#### **ALPSP Award for Publishing Innovation**

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- This year, the panel reviewed 12 applications from which they selected a shortlist of three. The judges considered the originality and innovative qualities of the projects submitted, together with their utility and long term development prospects.
- This year's award was made to the International Union of Crystallography (IUCr) for their Data Exchange, Quality Assurance and Integrated Data Publication (CIF and checkCIF).
- The judges were impressed with the way in which CIF and *check*CIF are easily accessible and have served to make critical crystallographical data more consistently reliable and accessible at all stages of the information chain, from authors, reviewers and editors through to readers and researchers. In doing so, the system takes away the donkeywork from ensuring that the results of scientific research are trustworthy without detracting from the value of human judgement in the research and publication process.
- The development and maintenance of CIF and *check*CIF is sponsored by several publishers, but it is freely accessible to all. IUCr already works closely with other related structural science communities and is looking to extend this cooperation. The judges felt that in developing CIF and *check*CIF, the IUCr has established an important example of data quality assurance with potential applications in other scientific, medical, and indeed social sciences publishing.

"The IUCr is honoured by the 2006 ALPSP Award for Publishing Innovation, which recognises the hard work and dedication of our publishing staff and academic collaborators, and the role that learned societies can play in introducing novel and valuable contributions to scientific information exchange. The Crystallographic Information Framework owes much to the special nature of crystallography and its relatively compact community of practitioners; but we hope that this award will encourage other scientific disciplines to follow similar approaches to integrating research data and literature, and to extending the tradition of peer review more deeply into the supporting data."

Peter Strickland, Managing Editor, IUCr Publications

AWARDS

2006

# Summary

- Standard data format
- Automated checking/quality assessment
- Objective publication standards
- Adoption of standards in wider community
- Improvement in quality
- Potential to extend consistency checking even further

